Annual internal audit report 2016/17 to

Enter name of smaller authority here: Grimsby, Saxilby, and Shobnall Parish Council

This smaller authority's internal audit, acting independently and on the basis of an assessment of risk, carried out a selective assessment of compliance with relevant procedures and controls expected to be in operation during the financial year ended 31 March 2017.

Internal audit has been carried out in accordance with this smaller authority's needs and planned coverage. On the basis of the findings in the areas examined, the internal audit conclusions are summarised in this table. Set out below are the objectives of internal control and alongside are the internal audit conclusions on whether, in all significant respects, the control objectives were being achieved throughout the financial year to a standard adequate to meet the needs of this smaller authority.

<table>
<thead>
<tr>
<th>Internal control objective</th>
<th>Agreed? Please choose only one of the following</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Appropriate accounting records have been kept properly throughout the year.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>B. This smaller authority met its financial regulations, payments were supported by invoices, all expenditure was approved and VAT was appropriately accounted for.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>C. This smaller authority assessed the significant risks to achieving its objectives and reviewed the adequacy of arrangements to manage these.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>D. The precept or rates requirement resulted from an adequate budgetary process; progress against the budget was regularly monitored; and reserves were appropriate.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>E. Expected income was fully received, based on correct prices, properly recorded and promptly banked; and VAT was appropriately accounted for.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>F. Petty cash payments were properly supported by receipts, all petty cash expenditure was approved and VAT appropriately accounted for.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>G. Salaries to employees and allowances to members were paid in accordance with this smaller authority's approvals, and PAYE and NI requirements were properly applied.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>H. Asset and investments registers were complete and accurate and properly maintained.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>I. Periodic and year-end bank account reconciliations were properly carried out.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>J. Accounting statements prepared during the year were prepared on the correct accounting basis (receipts and payments or income and expenditure), agreed to the cash book, supported by an adequate audit trail from underlying records and where appropriate debtors and creditors were properly recorded.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
<tr>
<td>K. (For local councils only) Trust funds (including charitable) – The council met its responsibilities as a trustee.</td>
<td><img src="https://example.com/yes.png" alt="Yes" /></td>
</tr>
</tbody>
</table>

For any other risk areas identified by this smaller authority adequate controls existed (list any other risk areas below or on separate sheets if needed)

Name of person who carried out the internal audit: JOHN RHODES A.C.I.B.
Signature of person who carried out the internal audit: [Signature]
Date: 27/04/2017

*If the response is 'no' please state the implications and action being taken to address any weakness in control identified (add separate sheets if needed).
**Note: If the response is 'not covered' please state when the most recent internal audit work was done in this area and when it is next planned, or, if coverage is not required, internal audit must explain why not (add separate sheets if needed).
Accounts For the Year Ended 31/3/2017

Bank Reconciliation 31/3/2017

Balance per Bank  
  curr Acc.  1858  22
  dep Acc.   2136  63
                  3994  85

Less unpresented Cheques
  (984)  164.00
  (987)  15.00
  (989)  500.00
                  679.00
                  679.00
              £ 3315  85

Balance per cash Books
  curr Acc.  1179  22
  dep Acc.   2136  63
          £ 3315  85
NOTES TO AUDITOR – ‘SIGNIFICANT VARIANCES’

Box No. 3  Total Other Receipts were lower this year because:-

This year received £119.89 Council Tax Support Grant and previous year was £179.82

This year received £205.53 VAT repayment and previous year was £222.82